

PCTWORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : B01J 13/00, A61K 7/15, A61L 9/04, 9/00		A1	(11) International Publication Number: WO 97/20626
			(43) International Publication Date: 12 June 1997 (12.06.97)
(21) International Application Number: PCT/US95/16475		(81) Designated States: AU, BB, BG, BR, BY, CA, CN, CZ, EE, FI, GE, HU, IS, JP, KG, KP, KR, KZ, LK, LR, LT, LV, MD, MG, MN, MX, NO, NZ, PL, RO, RU, SG, SI, SK, TJ, TM, TT, UA, UG, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: 6 December 1995 (06.12.95)			
(71)(72) Applicant and Inventor: MONSON, James, A. [US/US]; 65 West Southington Avenue, Worthington, OH 43085 (US).			
(74) Agent: JANSSON, Peter, N.; Jansson & Shupe, Ltd., Suite M, 245 Main Street, Racine, WI 53403 (US).		Published <i>With international search report.</i>	
(54) Title: POST-FOAMABLE FOAM COMPOSITION			
(57) Abstract <p>This invention relates to compositions which dispense as foams and post-foam, thereby exhibiting multiple levels of foam. Such compositions include a foamable utilitarian constituent; a post-foaming agent; and a compressed gas in an amount sufficient a) to provide the composition, when contained, with dispensing pressure and b) to foam the composition on dispensing. The composition can also include a diluent. In one embodiment, the composition includes about 0.1-60 % foamable utilitarian constituent, about 0.5-24 % post-foaming agent, and compressed gas present in amounts sufficient to produce a pressure of about 10-60 pounds per square inch on the composition when the composition is in a container.</p>			

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

5

Title: POST-FOAMABLE FOAM COMPOSITION

10

Field of the Invention

This invention is related generally to foamable compositions and, more particularly, to compositions which dispense as foams and post-foam, thereby exhibiting multiple levels of foam.

15

Background of the Invention

Conventional personal care and household and industrial cleaning products are available in solid, powder, liquid gel and cream form. The most common and familiar personal care and cleaning product on the market today is a bar soap, which produces a lather or foam by agitation with the hands and body. Bar soaps come in a variety of types and are relatively inexpensive. However, problems associated with bar soaps are numerous. One of the most common problems is the difficulty in "working up" a lather from the bar for spreading. Considerable time and dexterity are usually required. Another problem associated with bar soaps is the inability to maintain sanitary conditions while exposing the bar to multiple uses and multiple users. A bar soap is often viewed as an object used by an entire household, office or other users of a common bathroom or sink. While personal hygiene is just that, personal, soap is considered a "community object". An additional problem associated with bar soaps is maintaining them in a fresh, appealing condition. Soap bars sit in soap dishes and

20

25

30

35

-2-

are exposed to moisture where they tend to break down into an unsightly gelatinous state. Additionally, bar soaps tend to cause unsightly residue or "scum" on sink, bath tub and shower surfaces if exposed to water.

5 In an effort to overcome some of these problems, the industry developed liquid cleaning and personal care products. These products are generally available as thick liquids, such as shampoos, conditioners, shower gels, liquid soaps and cleaners. These products are
10 relatively slow-foaming and produce very little, relatively weak foam which quickly flattens. Additionally, to maintain acceptable levels of foam, repeated use of the products in one grooming or cleaning session is often required. Further, many of these liquid
15 or gel products are subject to the drip or drool factor, whereby, after dispensing, a portion of the substance remains in the valve of the container and tends to drool after time leaving an unsightly mess on dispensers and sink, counter and shower surfaces.

20 Post-foaming gel compositions and foaming compositions were developed in an effort to overcome some of the shortcomings of bar and liquid products. These compositions are typically dispensed from aerosol or barrier packages/containers. In the case of a post-
25 foaming gel, when the gel is spread over the skin, hair or other surface and rubbed, the gel post-foams into a lathered product. One disadvantage associated with these products is that when the compositions are dispensed in the form of a stable gel, the gel is not easily spread
30 over the surface to be treated or cleaned in an even and fluid manner. Rather, these post-foaming gel compositions tend to clump and fall off the surface to be cleaned, particularly surfaces that are not horizontal.

35 Additionally, both the post-foaming gel and foam products are generally packaged in rigid pressurized aerosol containers or barrier packages/containers with hydrocarbon propellant gases contained therein. Such

-3-

aerosol containers are expensive to manufacture and ship. Further, the propellants used often do not form an integral part of the composition and are typically compartmentalized from the product to provide the
5 positive pressure needed to aid in the dispensing of the product. Such containers are known in the industry as barrier packages because they provide a barrier between the extraneous propellants and the composition to be dispensed. Further, the propellant gases released to the
10 atmosphere by use of these containers have increasingly come under attack because they are environmentally unacceptable. Also, the containers are not readily reusable or recyclable.

Each of the prior art products suffer from various
15 deficiencies. However, one of the greatest deficiencies is lack of foam or difficulty in obtaining and maintaining adequate foam levels during use. In personal care and household and industrial cleaning, foam and foam stability are associated with cleaning ability. The
20 consumer equates a greater foam level with better cleaning ability. Additionally, in personal care, foams provide a particularly pleasing effect or feel if a rich, slightly wet, creamy foam can be achieved. These foam properties generally require a foam with a fine bubble
25 texture. In the past, it has been found that the foam obtained upon being dispensed from an aerosol package is either too wet and runny with limited foam stability, or too stiff and dry, neither of which provide the essential pleasing feel or texture. Therefore, it would be an
30 improvement in the art to provide a composition that readily produces an initial rich foam or lather and maintains suitable foam levels during the cleansing process.

In summary, a considerable number of deficiencies
35 exist in the art relating to utilitarian compositions and in particular personal care and cleaning compositions. While the bar soaps of the prior art are inexpensive and

-4-

convenient they are lacking in areas of hygiene and sanitation as well as foam production and maintenance and require dexterity of the user. Although the liquid, gel and foam products of the prior art provide for more convenience and sanitation, they also lack the optimum foam producing and foam maintaining capabilities and spreading ability desired in such personal care and cleaning compositions. Additionally, these prior art liquid, gel and foam compositions prove difficult and expensive to package and dispense. Further, these packages or containers raise concerns from an environmental standpoint because of various propellants used to dispense the composition and disposal of the containers. All of these factors further increase overall costs. Thus, there is an ongoing search for compositions which can be easily spread, provide the desired foam characteristics, while maintaining sufficient foam levels for use, and are packaged and used in a more environmentally acceptable manner. Clearly, there is a need for improved and novel personal care and cleaning compositions that provide a desired creamy, rich foam, maintain foam levels during cleaning, are economical and easily dispensed and can withstand multiple uses and multiple users while maintaining sanitary conditions and a clean, pleasing appearance.

Objects of the Invention

It is an object of this invention to provide personal care and cleaning compositions which overcome some of the problems and shortcomings of the prior art.

Another object of this invention is to provide personal care and cleaning compositions which produce adequate initial foam and maintain foam levels during cleaning.

A further object of the invention is to provide a composition that can be used multiple times by multiple users while maintaining sanitary conditions.

-5-

Still another object of the invention is to provide a composition that is easily dispensed in a manner not harmful to the environment.

These and other important objects will be apparent
5 from the following description and from the drawings.

Summary of the Invention

This invention includes novel foamable compositions. It overcomes certain well-known problems and deficiencies
10 of the prior art, including those outlined above. An important aspect of this invention is the ability to provide a composition which is dispensed as a foam and post-foams.

The inventive composition includes a foamable
15 utilitarian constituent, a post-foaming agent and compressed gas. Such a composition exhibits multiple levels or stages of foam and provides for extended foam character, throughout the cleaning process.

In one embodiment, the foamable utilitarian
20 constituent includes a surface active agent. The surface active agent includes nonionic, anionic, amphoteric and cationic surfactants. The specific surface active agents used are dependent on the purpose for which the composition is to be utilized. Generally, the inventive
25 compositions include about 0.1 - 60.0% of the utilitarian constituent. Further, the foamable utilitarian constituent can include more than one surface active agent to impart various characteristics to the composition.

30 The post-foaming agent is responsible for further foaming the foam after the composition is dispensed. The post-foaming agent of the inventive composition is selected from the group consisting of saturated aliphatic hydrocarbons, halogenated hydrocarbons, and mixtures
35 thereof. The post-foaming agent is typically about .5 - 24.0% of the novel post-foamable foam composition.

-6-

The compressed gas of the composition provides for both dispensing of the composition and initial foaming of the composition when dispensed. The compressed gas is one of the group including (a) nitrogen, (b) argon, (c) neon, (d) krypton, (e) xenon, (f) helium, (g) carbon dioxide, (h) nitrous oxide, and (i) mixtures thereof. Carbon dioxide and nitrous oxide and mixtures are preferable because they are inexpensive and easily accessible. The compressed gas is present in amounts sufficient to provide the composition, when contained in a dispenser, with dispensing pressure. The compressed gas is also present in amounts sufficient to immediately foam the composition when it is dispensed. Typically, to provide both of these functions, the compressed gas should be present in amounts sufficient to provide about 10 - 60 pounds per square inch gauge (psig) on the composition when it is contained.

The composition can further include a diluent. In preferred embodiments, the diluent is water. The diluent assists in the foaming and lathering and is used in quantities sufficient to obtain the desired viscosity and concentration for the composition. The composition can include about 16.0 - 99.4% diluent. In preferred embodiments about 55.0 - 98.5% of the composition is diluent. Further, additives such as fragrances, emollients, thickeners, preservatives etc. can also be included in the inventive composition.

The composition is dispensed by the compressed gas and exhibits multiple sequential stages of foam. These stages of foam include an initial stage of foam where the utilitarian constituent is foamed by the compressed gas on dispensing. This initial stage of foam has a density of about 0.15 - 0.90 g/cc. The second stage of foam results from the vaporizing post-foaming agent. The second stage of foam has a density of about 0.04 - 0.30 g/cc. Additional stages of foam are possible including a stage of foam resulting from agitation of the composition

-7-

on a surface to be cleaned, thereby entraining atmospheric air in the utilitarian constituent. These multiple stages or levels of foam provide for extended foam character.

5

Brief Description of the Drawings

FIGURE 1 is a perspective view of an exemplary dispensing container having the inventive post-foaming composition used for bathing or the like therein.

10 FIGURE 2 is an elevation view of the dispensing container of FIGURE 1 shown in conjunction with a concentrate container having the concentrate charged into the dispensing container of FIGURE 1.

15 FIGURE 3 is a cross-sectional elevation view showing mating female and male valve stems used respectively with the upright and inverted containers shown in FIGURE 2.

20 FIGURE 4 is a cross-sectional elevation view of a dispensing container like that of FIGURE 1 which is free of a removable cap and has a dispensing valve made integrally therewith. Parts are broken away.

FIGURE 5 is a cross-sectional elevation view of another dispensing container like that of FIGURE 1 which includes a removable cap having a dispensing valve therewith.

25 FIGURE 6 is a side elevation view of an exemplary dispensing container like that of FIGURE 1 shown in conjunction with a container mounting rack as for a shower or bath, for example.

30 FIGURE 7 is a cross-sectional side elevation view of an exemplary dispensing container like that of FIGURE 1 shown in conjunction with an enclosed container holder for a shower or bath and having a removable top.

Detailed Descriptions of the Preferred Embodiments

35 The present invention is directed to novel post-foamable foam compositions. Typically, such post-

-8-

foamable foam compositions include a foamable utilitarian constituent, a post-foaming agent and compressed gas.

The foamable utilitarian constituent is the main ingredient of the composition which provides the function or purpose of the composition. It can be food based as in the area of dairy products, such as cream, or can be a cheese product such as cheese spread. More commonly, the utilitarian constituent includes at least one surface active agent, as in the case of personal care products, such as shampoos, cleansers, hand and body cleaners and conditioners, or household and industrial cleaners, such as hard surface cleaners, multi-purpose cleaners, bathroom cleaners and glass cleaners. These surface active agents can include nonionic, anionic, amphoteric and cationic surfactants. When a surface active agent is used alone, nonionic, anionic, or amphoteric surfactants are preferable. When the foamable utilitarian constituent includes a mixture of more than one surface active agent, anionic, nonionic, cationic and amphoteric surfactants can be used. Numerous surface active agents or surfactants are known and suitable for use in the composition of the present invention, depending on the function of the composition. A variety of these surface active agents can be found in McCutcheon's Emulsifiers and Detergents, 1994. An example of just a few of the numerous suitable anionic surface active agents include: alkyl benzene sulfonates in which the alkyl group contains from 9 to 15 carbon atoms, preferably 11 to 14 carbon atoms in straight chain or branches chain configuration; alkyl sulfates obtained by sulfating an alcohol having 8 to 22 carbon atoms, preferably 12 to 16 carbon atoms (the alkyl sulfates have the formula $(\text{ROSO}_3)_2\text{M}$ where R is the C_{8-22} alkyl group and M is the alkaline earth metal); paraffin sulfonates having 8 to 22 carbon atoms, preferably 12 to 16 carbon atoms in the alkyl moiety; olefin sulfonates having 8 to 22 carbon atoms, preferably 12 to 16 carbon atoms; alkyl ether

sulfates derived from ethoxylating an alcohol having 8 to 22 carbon atoms, preferably 12 to 16 carbon atoms with 1 to 30, preferably 1 to 12 moles of ethylene oxide and then sulfating; and alkyl glyceryl ether sulfonates
5 having 8 to 22 carbon atoms, preferably 12 to 16 carbon atoms in the alkyl moiety. Examples of some preferred surface active agents suitable for use in body and hand cleaners include: ammonium lauryl sulfate, decyl polyglucose, sodium laureth sulfate, decyl polyglucose,
10 ammonium cocoyl isethionate, and soyamidopropyl betaine. The inventive composition preferably includes about 0.10 - 60.0% foamable utilitarian constituent.

The post-foaming agent included in the composition acts as a foaming aid for the composition after the
15 composition is dispensed as a foam. This provides for lasting foam character of the composition and multiple levels or stages of foam, thereby reducing or eliminating the need for multiple applications of the composition during cleaning to maintain foam. The post-foaming
20 agents in the inventive compositions are liquids or liquefiable and include saturated aliphatic hydrocarbons having from 4-6 carbon atoms, such as butanes, preferably iso-butane, pentanes, preferably iso-pentane, hexanes, and partially or wholly halogenated hydrocarbons, such as
25 trichlorotrifluoroethane (Freon 13), and 1,2 dichloro, 1,1,2,2-tetrafluoroethane (Freon 114). Mixtures of these hydrocarbon and/or halogenated hydrocarbon post-foaming agents are useful for providing the particular vapor pressure desired. An advantage of using mixtures of two
30 or more post-foaming agents is that although the individual agents may have vapor pressures outside the desired range, when combined in the composition, the resulting composition has a vapor pressure within the range of from about 6 to 14 psig at a temperature from
35 about 90 to about 100°F. This temperature range is the most suitable for personal care products which are used on humans having a body temperature of about 98.6°F. In

-10-

preferred embodiments, where a mixture of at least two post-foaming agents is utilized, the mixture can include about 10.0 - 90.0% of a first post-foaming agent and about 90.0 - 10.0% of a second post-foaming agent. In one embodiment, the preferred post-foaming agent is a mixture of iso-butane and iso-pentane. The preferred ratio of the mixture is about 3:1 or 4:1. Post-foaming agents will comprise about .50 - 24.0% of the total post-foaming foam composition.

The compressed gas of the inventive composition provides two functions. Initially, the compressed gas provides the necessary dispensing pressure required to dispense the composition when contained. The second function of the compressed gas in the composition is to provide the initial level or stage of foam to the composition immediately on dispensing. To meet the above requirements, the compressed gas should be present in amounts sufficient to provide about 10 - 60 psig on the composition when it is contained. In a preferred embodiment, the compressed gas exerts about 35 psig on the composition when it is contained.

The compressed gas can be any suitable compressed gas known to one of ordinary skill in the art. In preferred embodiments, the compressed gas is one of the group including: (a) nitrogen, (b) argon, (c) neon, (d) krypton, (e) xenon, (f) helium, (g) carbon dioxide, (h) nitrous oxide, and (i) mixtures thereof.

The inventive composition can also include a diluent. The diluent is included to assist in foaming and lathering. Additionally, the diluent is used in quantities sufficient to obtain the desired viscosity and concentration for the composition. The diluent is one of the group including: a) water, b) propylene glycol, c) glycerine, and d) mixtures thereof. In preferred embodiments, the diluent is water. The inventive composition can include about 16.0 - 99.4% diluent. In

-11-

preferred embodiments about 55.0 - 98.5% of the composition is diluent.

The composition of the present invention may also contain minor amounts of conventional additional ingredients to impart various desired characteristics to the composition. Suitable additives include, for example, thickening agents, coloring agents, perfumes, preservatives, antiseptic agents, antibacterial agents, disinfectants, emollients and humectant. The composition may also optionally contain suspending agents or thickening agents for imparting desired viscosity to the composition. Suitable thickening agents include, for example, carboxy vinyl polymers available from B.F. Goodrich Company under the trademark CARBOPOL, carbomers, sodium polacrylate, hydroxyethyl cellulose, guar gum and xanthum gum. Further, the inventive compositions can include conditioning agents such as glycerine, guar hydroxypropyl trimonium chloride, fatty acid esters, and highly branched hydrocarbons such as those sold by The Permethyl Corporation under the trademark PERMETHYL 104A.

The compositions of the present invention are typically dispensed from a container having a valve arrangement. One embodiment of such a container is shown in FIGURE 1. This container 20 should be of barrier material to prevent loss of pressure. The barrier material may be a barrier plastic material, metal, glass, or a metal-coated plastic material, or laminated material. In preferred embodiments, the container 20 is translucent or transparent for reasons which will become apparent hereinafter. A preferred material for the container 20 is polyethyleneterephthalate (PET).

The compositions of the present invention can be prepared using various methods. In one embodiment, shown in FIGURE 2, the composition is prepared using a novel dispenser system to prepare and dispense the composition. In this embodiment, a first or dispensing container 20 having a valve 22 is provided. As shown in FIGURES 4 and

-12-

5, the valve 22 includes a hollow stem 24, a resilient stem retainer 25, a valving member 28 and inlet passages 29 in communication with the hollow passage through the stem. A diluent such as water is in the first container.

5 A second or concentrate container 30 having a valve 32 is also provided. The valve 32 is similar in arrangement to the valve 22 and also includes a stem 34. This second container 30 includes a concentrate. The concentrate typically includes about 2.0 - 60.0% foamable
10 utilitarian constituent, 6.0 - 24.0% post-foaming agent and about 16.0 - 72.0% diluent. The second container 30 can be any known container including barrier packages known to one of ordinary skill in the art. The second container should include a propellant capable of
15 dispensing the concentrate from the second container 30. The propellant should be present in such amounts to provide about 50 - 80 psig on the concentrate when contained. Any of a variety of propellants can be used so long as the terminal pressure (pressure exerted on the
20 remaining concentrate when the second container is almost empty) is at least about 20 psig. Suitable propellants include the condensable gases including hydrocarbons such as propane, butane, isobutane, and isopentane. Environmentally hazardous halogenated hydrocarbons
25 represented by the structural formula $C_nH_xCl_yF_z$, wherein n is a whole number from 1 to 2 and x, y and z is equal to $2n+2$ can be employed, but, of course, are not preferred in view of their known environmental effects. Additionally, noncondensable gases such as carbon dioxide
30 and nitrous oxide and mixtures thereof can be used and are preferred. Additionally, any other propellant capable of dispensing the concentrate known to one of ordinary skill in the art can be used. Mixtures of various propellants and diluents known to one of ordinary
35 skill in the art are often employed to obtain the desired vapor pressure with the container. As will be seen, the propellant can be a separate propellant or can also

-13-

provide the compressed gas component of the inventive composition.

Typically, the second container 30 includes enough concentrate to prepare approximately two to four batches
5 of the composition.

To prepare the inventive composition, the first container 20 is inverted and the stem 24 is placed adjacent the stem 34 of the second container as shown in FIGURE 2. To assist in aligning the stem 24 and the stem
10 34 a guide 40 is provided. This guide 40 extends over a portion of the stems 24 and 34 when the stems are adjacent one another as shown in FIGURES 2 and 3. To further assist in the alignment of the valve stems, as shown in FIGURE 3, one stem can include a male
15 configuration and the other stem can include a female configuration. When the two stems 24 and 34 are adjacent one another, pressure is applied to open the valves 22 and 32. Since the second container 30 includes a propellant such as compressed gas, concentrate is
20 transferred from the second container to the first container. In preferred embodiments, the concentrate remains substantially free from foaming when transferred. When the transfer is complete, the pressure is released and the valves return to there normally seated and closed
25 position. To assist in the transfer of the appropriate amount of concentrate from the second container 30 to the first container 20, the first container can include graduated markings 50 as shown in FIGURE 1. The translucency or transparency of the first container
30 allows a user to observe the level of the contents of the first container and discontinue transfer when the appropriate level has been reached. The first container 20 can then be agitated to thoroughly mix the concentrate and diluent.

35 In an additional method of preparing the inventive composition, the first container 20 also includes compressed gas therein. In such an embodiment, the

-14-

pressure of the second container 30 must be greater than that of the first container 20 to allow for transfer of the concentrate into the first container. Transfer of the concentrate to the first container then occurs as described above.

In an alternative method, the first container is provided with a resilient filling closure 60 as shown in FIGURE 5. This resilient filling closure 60 is shown in the closed position, but includes a passage therethrough which is normally closed due to the resiliency of the filling closure. To effect a transfer of the concentrate from the second container 30 to the first container 20, the valve stem 34 of the second container is inserted into the resilient filling closure 60, thereby opening the passage therein, and pressure is applied to effect transfer. As above, the transfer of concentrate continues until the appropriate amount of concentrate is transferred to the first container.

An alternative method of preparing the inventive composition includes providing a first container 20 having a removable cap 55 as shown in FIGURE 5. When preparing the composition, the cap is removed from the first container and diluent is added. Preferably, the diluent is chilled to about 40°F to reduce the likelihood of foaming of the composition during preparation. The proper amount of concentrate is transferred into the open container from the second container 30. After the appropriate amount of concentrate has been transferred, a gas producing substance is added to the first container and the container is closed with the cap 55. The first container can then be agitated to mix the contents.

The gas producing substance can be in the form of a liquid, gel or solid. Preferably, the gas producing substance is an effervescing tablet of sodium bicarbonate and citric acid or sodium bicarbonate and sodium citrate.

Alternatively, the gas producing substance can be added to the first container before the concentrate is

-15-

introduced. In preparing the composition, various additives can be included in either the concentrate or in the diluent, or can be added to the first container by various methods known to one of ordinary skill in the art.

5 The composition is dispensed from the first container 20 through the valve 22. The valve can be actuated by downward or angular (lateral) pressure. The first container should preferably be used in the inverted position as shown in FIGURE 1. Alternative manners of attaching the dispensing container to a vertical surface are shown in FIGURES 6 and 7. FIGURE 6 shows a first container in a rack 65 which can be placed over shower head or peg-like protrusion. FIGURE 7 shows the first container 20 enclosed in a dispenser 70 that includes a removable top 72. Alternative mounting arrangements known to one of ordinary skill in the art can also be used.

20 The composition is dispensed from the first container as a creamy, rich foam which exhibits multiple sequential stages or levels of foam. The initial stage of foam where the utilitarian constituent is foamed by the compressed gas on dispensing has a density of about 0.15 - 0.90 g/cc. In preferred embodiments, the initial stage of foam has a density of about .15 -.30 g/cc. The second stage of foam resulting from the vaporizing post-foaming agent has a density of about 0.04 - 0.30 g/cc. Preferred embodiments exhibit a second stage of foam having a density of about .05 - .10 g/cc. Additional stages of foam are possible including a stage of foam resulting from agitation of the composition on a surface to be cleaned, thereby entraining atmospheric air in the utilitarian constituent. These multiple stages or levels of foam provide for extended foam character and less repeated use of the composition during the grooming or cleaning process.

-16-

Examples of the Invention

Several various examples of inventive compositions suitable for use as personal care products are discussed below. All of the percentages are weight percentages.

5

EXAMPLE 1

	CONSTITUENT	%
	Concentrate:	
10	Carbopol ETD 2020 (Thickener)	1.50
	Plantaren PS300	30.00
	Ammonium Lauryl Sulfate, Decyl Polyglucose	
15	Isobutane	2.00
	Isopentane	8.00
	Water	58.50
	Diluent:	
20	Carbonated Water	98.50
	Triethanolamine (TEA) *****	1.50
	Dilution Ratio:	
	Concentrate	33.00
25	Diluent	66.00

The composition of Example 1 appeared thick prior to dispensing. The foam produced was lacy and voluminous. Such a composition would be suitable for use as a shampoo or similar product.

30

* * * * *

EXAMPLE 2

	CONSTITUENT	%
35	Concentrate:	
	Plantaren PS200	30.00
	Sodium Laureth Sulfate, Decyl Polyglucose	
40	Guar Hydroxypropyl Trimonium Chloride (thickener/conditioning agent)	1.00
	Citric Acid (acidulant)	0.10
	Isobutane	3.00
	Isopentane	7.00
	water	58.90
45	Diluent:	
	Carbonated Water	100.00

	Dilution Ratio:	
	Concentrate	30.00
50	Diluent	70.00

-17-

The composition of Example 2 appeared thick. The foam produced was a slippery, lubricious foam. Such a composition could be used as a shaving foam.

* * * * *

EXAMPLE 3

	CONSTITUENT	%
10	Concentrate:	
	Carbopol ETD 2020 (thickener)	1.75
	Plantaren PS300	25.00
	Ammonium Lauryl Sulfate,	
	Decyl Polyglucose	
15	Polysorbate-20 (viscosity modifier)	10.00
	Triethanolamine (TEA)	0.10
	-(alkali to neutralize Carbopol)	
	Ammonium Lauryl Sulfate	30.00
	Isobutane	4.00
20	Isopentane	5.00
	water	24.15
	Diluent:	
	Water	96.50
	Sodium Bicarbonate, Sodium Citrate	3.50
25	*****	
	Dilution Ratio:	
	Concentrate	50.00
	Diluent	50.00

The composition of Example 3 was somewhat thinner than the previous examples. The foam produced was a thick, airy, lacy foam. This composition would also be suitable for use as a shaving product.

* * * * *

35

-18-

5

EXAMPLE 4

	CONSTITUENT	%
10	Concentrate:	
	Carbopol ETD 2020 (thickener)	1.25
	Plantaren PS300	20.00
	Ammonium Lauryl Sulfate, Decyl Polyglucose	
15	Hexylene Glycol (viscosity modifier)	2.00
	PEG-7 Glyceryl Cocoate (emollient)	2.00
	Dimethicone Copolyol Eicosanate -(emollient)	3.00
	Triethanolamine (TEA)	0.10
20	-(alkali to neutralize Carbopol)	
	Ammonium Lauryl Sulfate	25.00
	Polymethoxy Bicyclic Oxazolidine -(preservative)	0.60
	Isobutane	3.00
25	Isopentane	9.00
	water	34.05
	Diluent:	
	Water	98.25
	Sodium Bicarbonate, Sodium Citrate	1.75
30	*****	
	Dilution Ratio:	
	Concentrate	40.00
	Diluent	60.00

35

The composition of Example 4 was also somewhat thinner than the compositions of examples 1 and 2. The composition produced a soft, emollient voluminous lather. This composition would be suitable for use as a shower cleanser or the like.

40

* * * * *

-19-

EXAMPLE 5

	CONSTITUENT	%
5	Concentrate:	
	Carbopol ETD 2020	1.60
	Plantaren PS300	25.00
	Ammonium Lauryl Sulfate, Decyl Polyglucose	
10	Hexylene Glycol (viscosity modifier)	1.00
	PEG-7 Glyceryl Cocoate (emollient)	1.00
	Dimethicone Copolyol Eicosanate -(emollient)	2.00
	Triethanolamine (TEA)	0.40
15	- (alkali to neutralize Carbopol)	
	Ammonium Lauryl Sulfate	15.00
	Ammonium Cocoyl Isethionate	10.00
	Polymethoxy Bicyclic Oxazolidine -(preservative)	0.60
20	Isobutane	2.00
	Isopentane	8.00
	water	33.40
	Diluent:	
	Water	98.25
25	Sodium Bicarbonate, Sodium Citrate	1.75

	Dilution Ratio:	
	Concentrate	33.00
	Diluent	66.00

The composition of Example 5 was thin. This composition produced a soft, smooth, emollient lather also suitable for use as a shower cleanser or the like.

* * * * *

EXAMPLE 6

	CONSTITUENT	%
	Concentrate:	
40	Citric Acid	0.30
	Plantaren PS300	40.00
	Ammonium Lauryl Sulfate, Decyl Polyglucose	
	Soyamidopropyl Betaine	15.00
45	Isobutane	4.00
	Isopentane	4.00
	water	36.70
	Diluent:	
	Water	98.25
50	Sodium Bicarbonate, Sodium Citrate	1.75

	Dilution Ratio:	
	Concentrate	25.00
	Diluent	75.00

-20-

The composition of Example 6 was thin (less than
 5 1000 cps). This composition produced a rich, dense
 lather. Such a composition could be used as a shampoo.

* * * * *

EXAMPLE 7

10	CONSTITUENT	%
	Concentrate:	
	Citric Acid (acidulant)	0.20
	Plantaren PS300	30.00
15	Ammonium Lauryl Sulfate, Decyl Polyglucose	
	Soyamidopropyl Betaine	2.00
	Hydroxypropyl Methylcellulose -(thickener)	0.50
20	Isobutane	3.00
	Isopentane	6.00
	water	58.30
	Diluent:	
	Water	98.25
25	Sodium Bicarbonate, Sodium Citrate	1.75

	Dilution Ratio:	
	Concentrate	20.00
30	Diluent	80.00

The composition of Example 7 was thicker than the
 compositions of examples 5 and 6 but thinner than those
 of examples 1 and 2. The composition produced a
 lubricious, rich lather. Such a composition could be
 35 used as a shampoo.

* * * * *

5

EXAMPLE 8

10

CONSTITUENT		%
Concentrate:		
	Carbopol ETD 2020	1.60
	Plantaren PS300	25.00
15	Ammonium Lauryl Sulfate, Decyl Polyglucose	
	Hexylene Glycol (viscosity modifier)	1.00
	PEG-7 Glyceryl Cocoate (emollient)	1.00
	Dimethicone Copolyol Eicosanate	
20	-(emollient)	2.00
	Triethanolamine (TEA)	0.40
	-(alkali to neutralize Carbopol)	
	Ammonium Lauryl Sulfate	15.00
	Ammonium Cocoyl Isethionate	10.00
25	Polymethoxy Bicyclic Oxazolidine	0.60
	-(preservative)	
	Isobutane	2.00
	Isopentane	8.00
	water	33.40
30	Diluent:	
	Water	96.50
	Sodium Bicarbonate, Sodium Citrate	3.50

	Dilution Ratio:	
35	Concentrate	33.00
	Diluent	66.00

The composition of Example 8 was thin (similar to that found in example 5). The composition produced a lubricious, rich lather. Such a composition could also be used as a shower cleanser.

* * * * *

-22-

EXAMPLE 9

	CONSTITUENT	%
5	Concentrate:	
	Carbopol ETD 2020 (thickener)	1.75
	Plantaren PS300	25.00
	Ammonium Lauryl Sulfate,	
	Decyl Polyglucose	
10	Polysorbate-20 (viscosity modifier)	10.00
	Triethanolamine (TEA)	0.10
	-(alkali to neutralize Carbopol)	
	Ammonium Lauryl Sulfate	30.00
	Isobutane	4.00
15	Isopentane	5.00
	water	75.85
	Diluent:	
	Water	96.50
	Sodium Bicarbonate, Sodium Citrate	3.50
20	*****	
	Dilution Ratio:	
	Concentrate	30.00
	Diluent	70.00

25 The composition of Example 9 had similar thickness to those compositions of examples 3,4 and 7. The composition produced a lacy, airy lather. Such a composition would be suitable for use as a shaving product.

30 * * * * *

These examples represent a few of the possible formulations of the inventive post-foamable foam compositions and discuss only a few of the possible uses for these compositions.

35 While the principles of this invention have been described in connection with specific embodiments, it should be understood clearly that these descriptions are made only by way of example and are not intended to limit the scope of the invention.

40

WHAT IS CLAIMED:

1. A composition to be dispensed from a container including:

- a foamable utilitarian constituent;
- 5 -a post-foaming agent; and
- a compressed gas in an amount sufficient a) to provide the composition, when contained, with dispensing pressure and b) to foam the composition on dispensing.

10

2. The composition of claim 1 wherein the foamable utilitarian constituent includes a surface active agent.

3. The composition of claim 2 further comprising a
15 diluent.

4. The composition of claim 3 wherein the diluent is water.

20 5. The composition of claim 1 further comprising a diluent.

6. The composition of claim 5 wherein the diluent is water.

25

8. The composition of claim 1 wherein the post-foaming agent is selected from the group consisting of saturated aliphatic hydrocarbons, halogenated hydrocarbons, and mixtures thereof.

30

9. The composition of claim 1 wherein the compressed gas is one of the group including: (a) nitrogen, (b) argon, (c) neon, (d) krypton, (e) xenon, (f) helium, (g) carbon dioxide, (h) nitrous oxide, and
35 (i) mixtures thereof.

-24-

10. The composition of claim 9 wherein the post-foaming agent is selected from the group consisting of saturated aliphatic hydrocarbons, halogenated hydrocarbons, and mixtures thereof.

5

11. The composition of claim 1 wherein:

-the foamable utilitarian constituent is about 0.1 - 60% by weight of the composition;

-the post-foaming agent is about .5 - 24%; and

10 -the compressed gas is present in amounts sufficient to produce a pressure of about 10 - 60 pounds per square inch on the composition when the composition is in a container.

15 12. The composition of claim 3 wherein the diluent is about 16 - 99.4%.

13. The composition of claim 11 further comprising about 16 - 99.4% diluent.

20

14. The composition of claim 13 wherein the diluent is water.

15 25 15. The composition of claim 11 wherein the foamable utilitarian constituent includes a surface active agent.

30 16. The composition of claim 11 wherein the post-foaming agent is selected from the group consisting of saturated aliphatic hydrocarbons, halogenated hydrocarbons, and mixtures thereof.

35 17. A composition dispensed by a compressed gas and exhibiting multiple sequential stages of foam comprising:
-an initial stage of foam having a utilitarian constituent foamed by the compressed gas on

-25-

dispensing, the initial stage of foam having a density of about 0.15 - 0.9 g/cc; and
-a second stage of foam having a vaporizing post-foaming agent, the second stage of foam having a
5 density of about 0.04 - 0.5 g/cc,
whereby extended foam character is provided.

18. The composition of claim 17 further comprising
a third stage of foam on agitation by entrainment of
10 atmospheric air in the utilitarian constituent.

19. The composition of claim 17 wherein the
foamable utilitarian constituent includes a surface
active agent.
15

20. The composition of claim 17 wherein the post-foaming agent is selected from the group consisting of saturated aliphatic hydrocarbons, halogenated hydrocarbons, and mixtures thereof.
20

21. The composition of claim 17 wherein the compressed gas one of the group including: (a) nitrogen, (b) argon, (c) neon, (d) krypton, (e) xenon, (f) helium, (g) carbon dioxide, (h) nitrous oxide, and (i) mixtures
25 thereof.

1/4

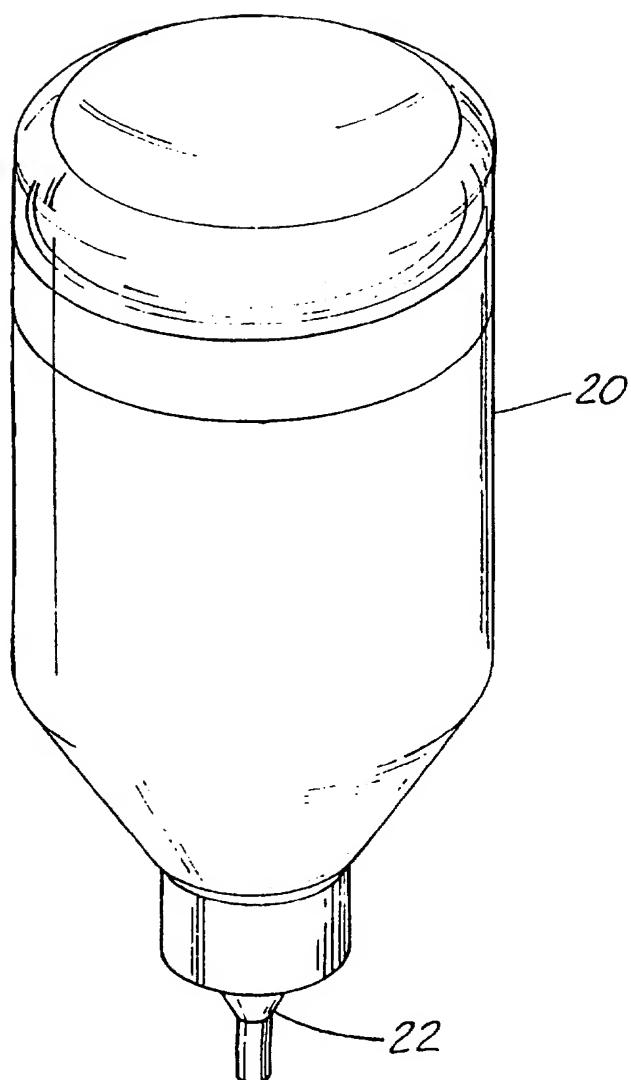
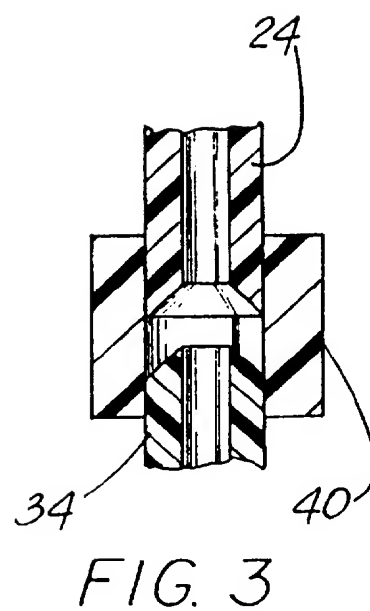
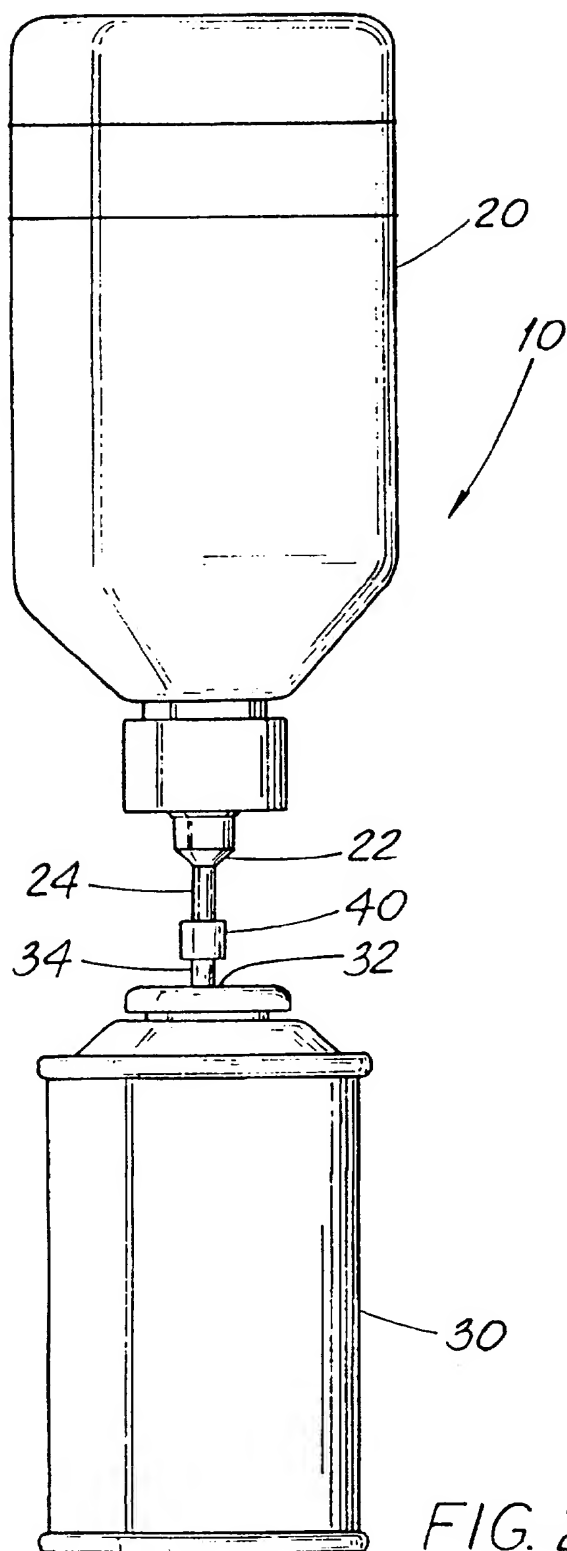


FIG. 1

2 / 4



3/4

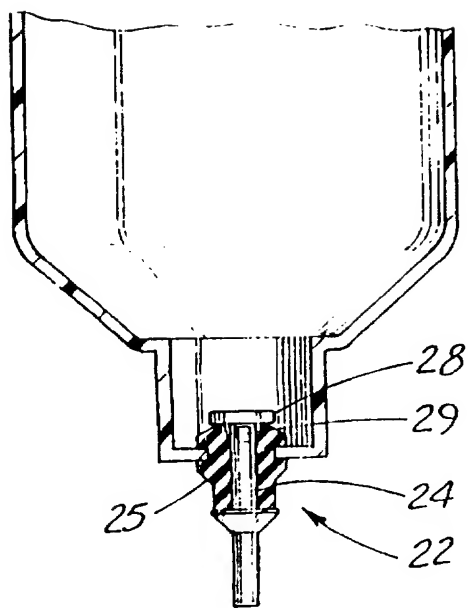


FIG. 4

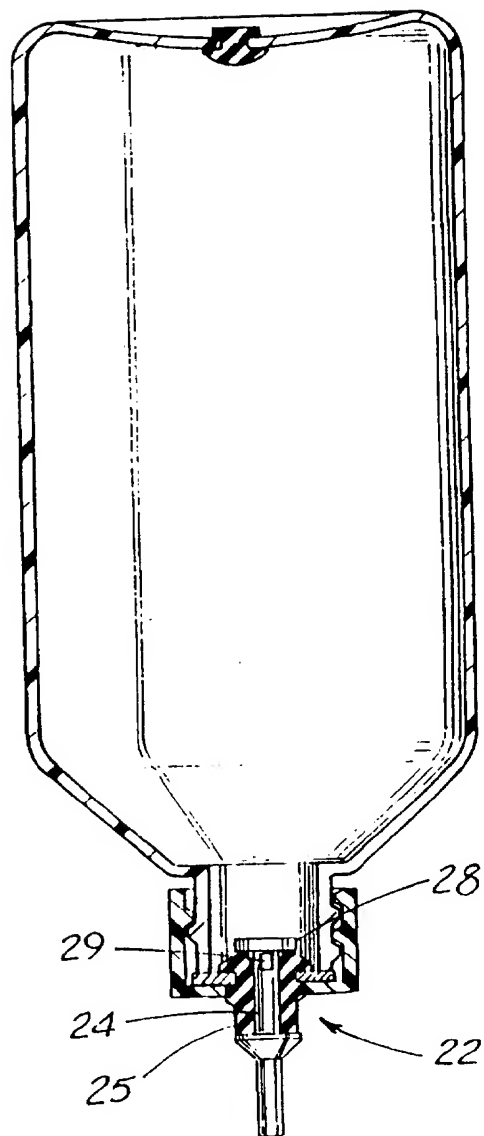
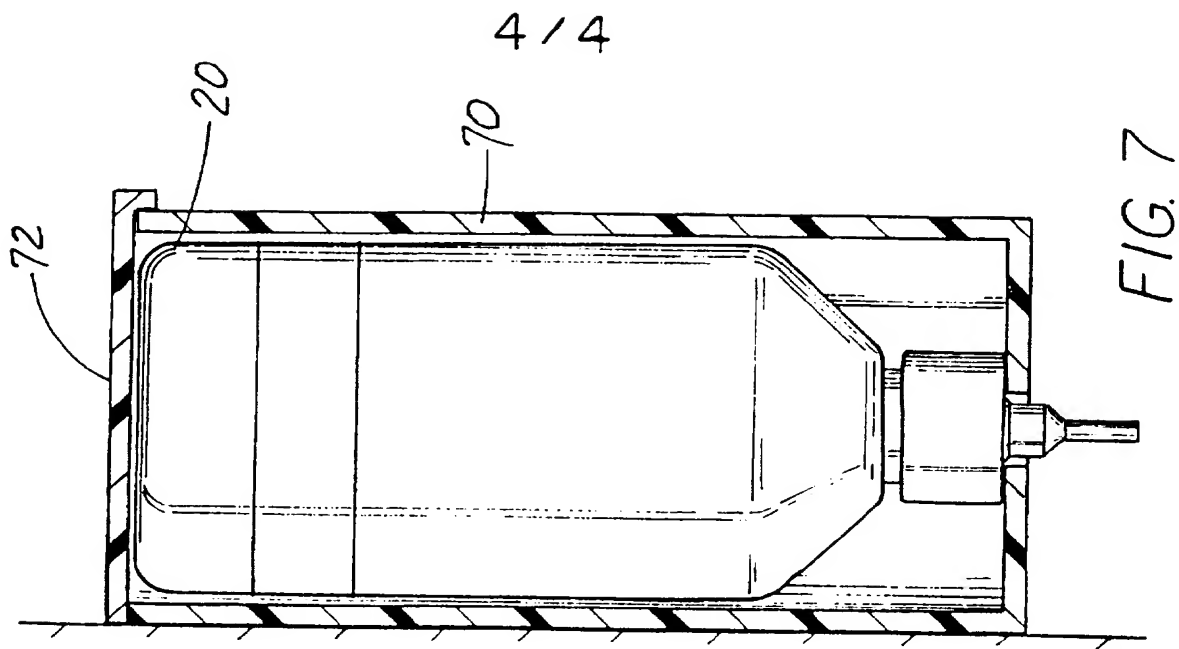
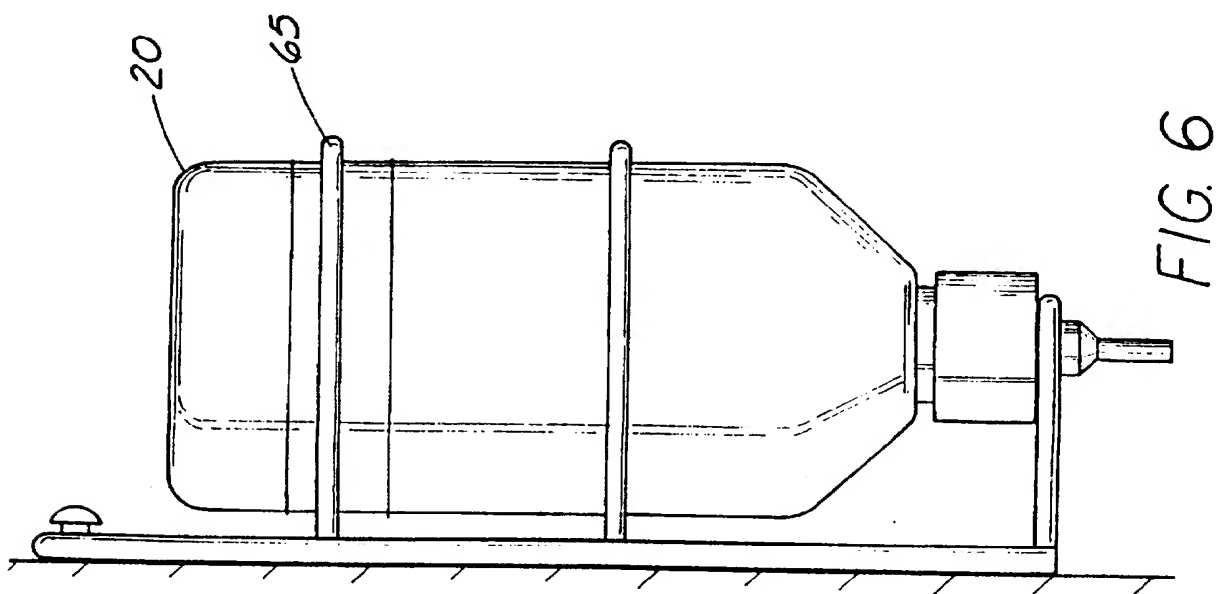


FIG. 5



INTERNATIONAL SEARCH REPORT

 International application No.
 PCT/US95/16475
A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :B01J 13/00; A61K 7/15; A61L 9/04, 9/00

US CL :252/305, 307, 90, 315.2, 315.3; 424/45, 47, 73, DIG.1; 514/945

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 252/305, 307, 90, 315.2, 315.3; 424/45, 47, 73, DIG.1; 514/945

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS

search terms: post-foaming

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	US, A, 3,970,584 (HART ET AL.) 20 July 1976, see entire document, in particular column 2, lines 54-66 and column 4, lines 8 et sequa.	1-6, 8-10, & 17-21 ----- 11-16
X --- Y	US, A, 4,122,159 (MADRANGE ET AL.) 24 October 1978, see entire document and particularly column 2, lines 12 et sequa.	1-6, 8-10, & 17-21 ----- 11-16
X	US,A, 3,072,487 (WEBSTER) 08 January 1963, see entire document.	1, 8-10, & 17-21
A	US, A, 2,665,480 (SPITZER ET AL.) 13 October 1953, see entire document.	1-6 & 8-21
A	GB, A, 1,444,334 (S.C. Johnson & SON, INC.) 28 July	1-6 & 8-21

☒ Further documents are listed in the continuation of Box C.
 ☐ See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search 14 MAY 1996	Date of mailing of the international search report 23 MAY 1996
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231	Authorized officer DANIEL S. METZMAIER
Facsimile No. (703) 305-3230	Telephone No. (703) 308-4552

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US95/16475

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US, A, 3,541,581 (MONSON) 17 November 1970, see entire document.	1-6 & 8-21